

TUTORIAL

Pharmacokinetics of Monoclonal Antibodies

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Monoclonal antibodies (mAbs) have developed in the last two decades into the backbone of pharmacotherapeutic interventions in a variety of indications, with currently more than 40 mAbs approved by the US Food and Drug Administration, and several dozens more in clinical development. This tutorial will review major drug disposition processes relevant for mAbs, and will highlight product-specific and patient-specific factors that modulate their pharmacokinetic (PK) behavior and need to be considered for successful clinical therapy.

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Structure and origin

All currently clinically used therapeutic antibodies are immunoglobulin G (IgG) monoclonal antibodies (mAbs)¹ and possess the same basic structure (**Figure 1**): they are large heterodimeric protein molecules with a molecular weight of ~150 kDa and are composed of four polypeptide chains, two identical heavy chains (50 kDa), and two light chains (25 kDa). The heavy and light chains are held together by disulfide bonds to form a Y-shape consisting of constant domains (C_H and C_L) and variable domains (V_H and V_L). The two variable regions and the C_{H1} domains of the heavy chains comprise the antigen binding fragment (Fab) with each variable domain containing the complementarity-determining region, which is highly specific for the target antigen. The C_{H2} and C_{H3} domains of the heavy chain make up the fragment crystallizable (Fc) region of the antibody and can bind to a variety of cell surface receptors, including the $Fc\gamma$ receptors and the neonatal Fc receptor (FcRn) on cells, as well as components of the complement system (i.e., complement C1q). The IgG class is divided into four subclasses: IgG1, IgG2, IgG3, and IgG4.² Typically, IgG1 and IgG3 are potent triggers of effector mechanisms, whereas IgG2 and IgG4 will induce more subtle responses, and only in certain cases. However, each of these antibodies remain capable of neutralizing target antigens.³ Currently marketed mAbs are predominantly IgG1, with a lesser degree of IgG2 and IgG4 (Table 1). The preference for one IgG class over the other is partially determined whether effector functions, such as antibody-dependent cellular cytotoxicity (ADCC) or complement-dependent cytotoxicity (CDC), are desired for the mAb activity as well as other structural factors, but also by prior experience and availability of a particular IgG subclass in a company's development portfolio.⁴

Similar to other biologics, mAbs are produced batch-wise in living cells. As such, they are defined by the production process rather than their chemical structure, and batch-to-batch variability in the resulting product is well recognized and needs to be tightly controlled through carefully established and controlled conditions during the cell culturing, product processing, and purification steps.⁵

The production and engineering of therapeutic mAbs was made possible by the groundbreaking hybridoma technology developed by Köhler and Milstein in 1975.⁶ The hybridoma technique consists of first injecting a specific antigen into a mouse, and procuring the antigen-specific plasma cells from the mouse's spleen. The isolated plasma cell is then fused with a cancerous immune cell for immortality.⁷ This hybrid cell is then cloned to produce many identical daughter clones, which continuously produce the monoclonal antibody of interest. Initially, only murine (derived from only mouse) monoclonal antibodies were produced with this technology, for example, tositumomab and ibritumomab tiuxetan. As these murine antibodies triggered strong immune reactions in humans, especially on repeated administration, other mAb types were created through additional engineering and recombinant technology. Cetuximab and rituximab are examples of chimeric mAbs. Chimeric mAbs are constructed with V_L and V_H from murine sources and C_{H1} , C_{H2} , and C_{H3} from humans.⁸ Further reduction of the murine content led to humanized mAbs, such as trastuzumab and alemtuzumab. Humanized mAbs are predominately derived from the human structure, with only the complementarity determining regions made up of murine origin. Ultimately, the production of fully human mAbs was made possible through two technologies: phage display and transgenic mice. The expectation, however, that the reduction and ultimately complete removal of murine components from mAbs would result in better tolerability and less or no immunogenic reactivity did only partially hold true, as immunogenicity of mAb products does seem affected by factors beyond the content of murine structures in the mAb molecule. The impact of immunogenicity on mAb pharmacokinetics will be discussed in the later part of this article.

BASIC PHARMACOKINETIC BEHAVIOR

Distribution of mAbs

The extent of mAb distribution relies upon the rates of extravasation in tissue and distribution in the interstitial space, antibody binding to the tissue components such as cell surfaces, and clearance from the tissue, including intracellular uptake and degradation. The mAb extravasation

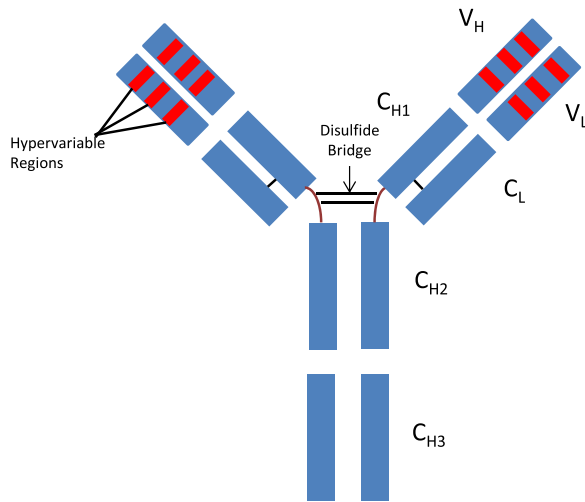


Figure 1 Monoclonal antibody structure.

can occur via three basic processes: passive diffusion, convective transport, and transcytosis through vascular epithelial cells. Due to the physiochemical properties and large size of mAbs, passive diffusion does not play a significant role in the extravasation process. The main mechanism by which mAbs distribute from the blood into the tissue is through convective transport.⁹ Convection is determined by the flux of fluid from the vascular space to the tissue, which is driven by the blood-tissue hydrostatic gradient, as well as by the sieving effect of the paracellular pores in the vascular epithelium.^{9–11} The sieving effect is determined by the size, tortuosity and number of the pores, as well as the size, shape and charge of the mAb.^{9,11} The principle behind convection is that the differential between hydrostatic and oncotic (colloid osmotic) pressures, coupled with the sieving effect, contributes to the net driving force for the extravasation of the mAb (**Figure 2**).¹² Transcytosis through vascular epithelial cells, mediated via the neonatal Fc receptor, may be another important route of extravasation for mAbs, especially in tissues in which extravasation via convection is limited.¹³ Several studies have shown a bidirectional transport of IgG in both basolateral to apical and apical to basolateral directions.^{14–17} This suggests that FcRn-mediated transcytosis may also play a role in the distribution of mAbs from the vascular space out into tissue compartments.

After extravasation, antibody distribution through the interstitial space relies upon diffusion, convection, and affinity to target antigens within the interstitial space or on cell surfaces in the tissues. In cases in which there is no target antigen for the mAb to bind (such as in preclinical mouse studies with a human mAb that is not cross-reactive to the murine analogue of its target antigen) or the target is in the plasma, the distribution of the mAb is expected to be limited. The mAbs that have a target in the tissue compartment are expected to potentially have a greater volume of distribution. For endogenous and exogenous antibodies, the tissue:blood concentration ratio is in the range of 0.1–0.5 (i.e., mAb concentrations are substantially lower in the tissue interstitial fluid than in plasma).^{9,18} For brain tissue, the ratio is even in the range of

0.01 or lower, but may be higher in cases of compromised blood-brain barrier.¹⁹ In cases where the mAb binds with high affinity to extravascular sites with high binding capacity tissue:blood concentration ratios may be much higher.^{9,18,20,21} It is worth noting that, in cases in which the binding capacity of the target is limited, a nonlinear distribution could occur where the volume at steady-state decreases with increasing plasma mAb concentrations.²²

Tissue distribution by large proteins, such as IgG molecules, is further hindered by the extracellular matrix. The interstitial space is filled with extracellular matrix, which has a gel-like consistency with a net negative charge and is predominantly comprised of glycosaminoglycans (e.g., hyaluronic acid) and structural proteins, such as collagen. There is a mutual exclusion between IgG molecules and the structural proteins of the extracellular matrix. The fraction of the extracellular matrix that is not available for distribution is expressed as the excluded volume.¹² It is dependent on the molecular weight and charge of the macromolecule and further limits the extravascular distribution for mAbs.²³ The excluded volume for IgG molecules has been reported as ~50% in muscle and skin tissue.^{24,25}

Distributive antibody removal from the interstitial space is dependent on the rate of antibody convection into the lymph. The process is the same as convection from the blood vessels into the interstitial tissue space, relying on pressure gradients, fluid flow rate (lymph flow rate), and sieving. The movement of the mAbs from the interstitial tissue space into the lymph is met with less resistance compared to extravasation due to the relatively large diameter of the lymph duct pores compared to the paracellular pores in vascular epithelium. Due to the vast differences in efficiency between convection into the interstitial space and out of it, unbound antibody concentrations are much lower in the interstitial space of tissues than in the vascular space. This concentration difference is more pronounced in tissues associated with tight junctions between endothelial cells, as compared to tissues with leaky capillaries. As a result, the volume of the central compartment (V_c) for most mAbs is in the range of 2–3 L, similar to the plasma water, and the overall volume of distribution at steady-state is in the range of 8–20 L.⁴

Physiologically based pharmacokinetic (PBPK) models have been used to describe the process of distribution of an antibody through convection as a product of the lymph

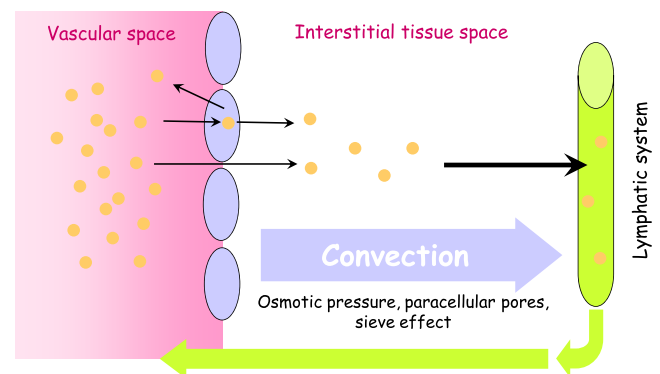


Figure 2 Convective extravasation as major distribution process for monoclonal antibodies (modified from ref. 12).

flow rate, which represents the hydrostatic gradient, and an efficiency term ($1-\sigma$). The σ is a reflection coefficient and represents the fraction of antibody sieved during the movement of blood through the pore and can have a value between 0 and 1. Reflection coefficients for large molecules, like mAbs, are assumed to be around 0.95 in tissues with continuous capillaries (tissues with tight junctions), such as connective tissue, skin, and muscle.²⁶ The reflection coefficient in fenestrated capillaries and sinusoids (liver, spleen, and bone marrow), which have leaky junctions, is in the range of 0.31 and 0.42.²⁶

Elimination of mAbs

Antibodies are eliminated by either excretion or catabolism. Unlike small molecules, mAbs are too large to be filtered by the kidneys and are not eliminated in the urine, except in pathologic conditions.²⁷ If low molecular weight antibody fragments are filtered, they are usually reabsorbed and metabolized in the proximal tubule of the nephron.²⁸ Biliary excretion accounts for a very small amount of the elimination of IgG antibodies. Thus, IgG elimination occurs mostly through intracellular catabolism by lysosomal degradation to amino acids after uptake by either pinocytosis, an unspecific fluid phase endocytosis, or by a receptor-mediated endocytosis process.²⁹

Receptor-mediated endocytosis of IgG results from interaction of cell surface receptors with either the Fc domain or one of the Fab binding domains of the antibody. This binding event serves as trigger for the endocytotic internalization of the IgG molecule into a vesicle and subsequent lysosomal degradation. If the binding event is facilitated through interaction of the complementarity-determining region of the Fab fragments with the specific target epitope for the mAb, the endocytosis and elimination is called target-mediated drug disposition (TMDD).³⁰ The rate of elimination of a drug through TMDD is dependent on the expression of the target receptor (which is usually limited), the affinity of the mAb for the receptor, the dose of the mAb, the rate of receptor-therapeutic protein internalization, and the rate of catabolism within the target cell. It is important to note that the antibodies cleared primarily by TMDD will have dose-dependent nonlinear elimination. Due to the high binding specificity and affinity of the mAb for its target, TMDD is, for many mAbs with a membrane-standing target, a major route of elimination, especially at low doses and concentrations. At higher doses and concentrations, especially therapeutic doses for mAbs intended to block a cell surface receptor, the TMDD elimination pathway is often saturated due to the limited availability of the target receptors, thereby resulting in a limited or no relevant contribution to overall clearance of the mAb.

Receptor-mediated endocytosis of mAbs can also be facilitated through binding of the Fc domain to Fc-gamma-receptors (Fc γ Rs) expressed on many immune cells, including monocytes, macrophages, myeloid progenitor cells, and dendritic cells.³¹ Similar to the TMDD process, binding of IgG to Fc γ R triggers the endocytosis of the complex and subsequent intracellular catabolism. Binding of immune complexes to Fc γ R is an important pathway for immune signal processing.³² Studies with Fc γ R knockout animals suggest that Fc γ R-mediated elimination likely plays only a minor role (if any) for most

mAbs.³³ For those mAbs, however, that form soluble immune complexes, mediate their pharmacology activity through effector functions, such as ADCC, and/or have increased binding affinity to Fc γ R, receptor-mediated endocytosis via Fc γ R may constitute an additional elimination pathway that contributes to the over elimination of the mAb. This has, for example, recently been demonstrated for elotuzumab.³⁴

Pinocytosis is a relatively unspecific fluid-phase endocytosis by endothelial cells lining the blood vessels. Due to the large surface area of endothelial cells in the body ($>1,000\text{ m}^2$), the process efficiently eliminates IgG molecules from the body. Catabolic degradation of IgG following pinocytotic uptake is not limited to a specific organ but occurs throughout the body, particularly in those organs and tissues rich in capillary beds with endothelial cells. Thus, the skin, muscles, and gastrointestinal tract are the major elimination organs for IgG molecules that do not undergo receptor-mediated elimination pathways.³⁵

Because the intracellular uptake via pinocytosis does not differentiate which proteins in the surrounding of a cell are taken up for degradation, a protective mechanism for IgG molecules is necessary to maintain their concentrations in the plasma in order to support their physiologic function to provide long-term immunity. This salvage pathway is provided by FcRn, which is also named the Brambell receptor.³⁶ **Figure 3** illustrates the mechanism³⁷: IgG is taken up into catabolic cells by fluid-phase endocytosis forming an endosome, which includes FcRn. At physiologic pH, FcRn has low affinity for IgG, but as the endosome is acidified, the affinity of FcRn increases and allows the IgG to attach via a specific binding site in the Fc domain. Once bound, the FcRn-IgG complex will be returned to the cell surface and release the IgG molecule from the binding once physiologic pH has been reached. Proteins in the endosomes that are not bound to FcRn and recycled undergo proteolytic degradation in the lysosome. The FcRn-mediated recycling of IgG molecules, including therapeutic mAbs, protects approximately two thirds of the IgG molecules taken into endosomes from catabolic degradation.³⁸ As a consequence, the elimination half-life for IgG1, IgG2, and IgG4 is $\sim 18\text{--}21$ days, which is substantially longer than the half-life of other proteins with similar molecular weight.³⁹ IgG3 molecules that have a substantially lower binding affinity to FcRn exhibit a half-life of 7 days. Besides serving as a salvage pathway, FcRn also facilitates transcytosis of mAbs in a variety of organs and tissues.

The efficiency of the FcRn-mediated recycling was illustrated in FcRn knockout mice, for which IgG clearance increased by 10-fold.⁴⁰ Similarly, increasing the pH-dependent binding affinity to FcRn through protein engineering could further reduce IgG clearance.⁴¹ Although efficient, there is a limit to the FcRn recycling capacity. At physiologic IgG concentrations of 12 mg/mL, IgG has a half-life of ~ 21 days. Introducing high concentrations of IgG, either exogenously as in the case of high-dose intravenous immunoglobulin therapy, or endogenously in conditions, such as multiple myeloma, there will be an increase in IgG clearance and reduced half-life by saturating the FcRn recycling process.⁴² Conversely, hypogammaglobulinemia would be expected to decrease the clearance and increase the half-life of the therapeutic mAbs. Significant changes in FcRn recycling, however, are not achieved with therapeutic doses of mAbs, because

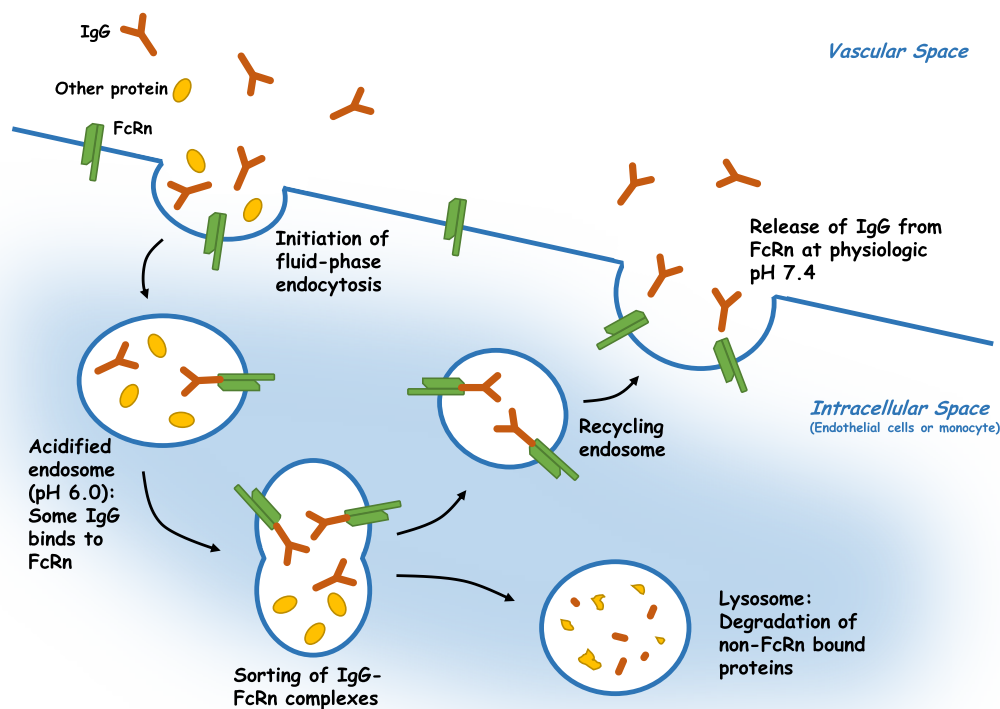


Figure 3 Protection of immunoglobulin G (IgG) molecules from lysosomal degradation by the neonatal fragment crystallizable-receptor (FcRn) salvage pathway.

most mAbs are given at doses <10 mg/kg, which would increase the overall amount of IgG in the body by only 1–2%.⁴³

Routes of administration

The mAbs do not have an appreciable oral bioavailability due to their large size, limited membrane permeability, and limited stability toward gastrointestinal protease activity. Therefore, intravenous (i.v.) infusion is the most common route of administration, followed by subcutaneous (s.c.) and intramuscular (i.m.) injection. The s.c. injection is used for a majority of mAbs that are not given through the i.v. route. The s.c. delivery of mAbs involves an absorption process from the site of injection that relies significantly on the convective transport of the mAb through the interstitial space into the lymphatic system, draining into the systemic circulation.

Similar to the distribution processes for mAbs, uptake of IgG molecules after injection into the interstitial space of s.c. tissues is largely driven by convective transport with only minor contribution from distribution processes. Transcytosis of IgG via FcRn contributes also, although only minimally to s.c. absorption.⁴⁴ In line with other therapeutic proteins for which the percentage of recovery in lymphatic vs. blood vessels is increasing with increasing molecular weight,⁴⁵ mAb absorption after s.c. administration is nearly exclusively facilitated by the lymphatic system rather than the vascular system. Because the flow of lymph fluid in lymphatic vessels is very slow compared to the blood flow in capillary vessels, the resulting absorption process of mAbs into the systemic circulation after

s.c. administration is also slow, with a corresponding slow increase in plasma concentration and delayed time of the maximum concentration (T_{max}), ranging for mAbs from 1.7–13.5 days,⁴⁴ with frequent values of T_{max} around 6–8 days. A model-based analysis suggests that lymphatic flow rate is the most influential factor to T_{max} .⁴⁴

Subcutaneously administered mAbs may undergo presystemic elimination. This is thought to be a combination effect of soluble peptidase activity in the interstitial space, endocytosis, and subsequent lysosomal degradation in endothelial cells lining the lymphatic vessels with involvement of the FcRn recycling pathway, as well as interaction with phagocytic immune cells in the lymph nodes, whereby the latter two processes are assumed to be most prominent. The resulting reported bioavailability for s.c. administered mAbs ranges from 52–80%.^{4,44} The underlying degree of presystemic degradation has been suggested to be a function of lymphatic residence time and elimination rate during lymphatic transport.⁴⁴

A variety of factors have been shown to influence s.c. absorption and bioavailability of mAbs. The site of injection may play a factor in the rate and extent of absorption for mAbs.⁴⁶ This is due to the changes in pressure gradient in the interstitial space at different sites of injection, as well as the amount of lymph movement near the sites of injection, which can also be modulated by activity/motion near the site of injection.⁴⁷

Product-specific factors that affect absorption are charge, size, formulation, and total dose given of the mAb.⁴⁸ The

net charge of the IgG molecule changes the lymphatic uptake characteristics. Due to the slightly negative charge present in the interstitial space, the highest uptake is seen with negatively charged proteins, with positively charged molecules absorbed slower.⁴⁹ The s.c. bioavailability for rituximab was found to be inversely related to the dose level, which might be attributed to saturation of the FcRn-mediated salvage pathway at the absorption site and the corresponding lymphatic vessels draining that area.⁴⁸

Species-specific characteristics, which are important for absorption, are skin morphology, catabolic capacity at the injection site, blood flow at the site of injection, and FcRn affinity.⁵⁰ All of these characteristics play a role in the absorption profile in each species, and make it difficult to scale a PK profile from one species to another.⁴⁶ For example, the FcRn affinity to human IgG varies across species, which needs to be considered in choosing an animal model for PK studies for mAbs. Human IgG1 has a ~2.5-fold higher binding affinity to mouse FcRn compared to human FcRn, resulting in a potential overemphasis of FcRn-mediated absorption and disposition processes when human IgG1 mAbs are tested in mice compared with humans.⁵¹

Subject-specific characteristics that can have an effect on absorption are body weight, gender, age, activity level, disease state, respiratory rate, and blood pressure.⁵² In humans, hypodermis thickness increases with body weight, decreases with age, and depends on gender, which has the potential of leading to different absorption behavior and variability.⁵³ For example, the flow of lymph increases by 83% during 2 hours of exercise, which may have a substantial impact on the uptake of therapeutic proteins into the systemic circulation.⁵⁴ As a consequence of all these factors, there is substantial variability in the rate and extent of absorption between different mAbs and between different individuals for the same mAb.^{4,55}

The outlined concepts have successfully been implemented in recent PBPK modeling attempts for mAb disposition after s.c. administration.⁵⁶

PRODUCT-SPECIFIC FACTORS AFFECTING THE PHARMACOKINETICS OF MABS

Charge

Charge is one of the major determinants of how an mAb interacts with the negatively charged components of the cell surface. Changes in charge have been shown to change the PK behavior of mAbs in serum, interstitial space, and tissue.⁵⁷ An increase in isoelectric point (pI) by greater than one unit through cationic modifications, for example, increased plasma clearance and resulted in a higher distribution into tissue.⁵⁸ The mAbs with higher pI values had not only faster systemic clearance, but also lower s.c. bioavailability compared with antibodies with lower pI.⁵⁹ On the other hand, anionic modifications, causing a decrease in pI by 1–2 units, were shown to decrease plasma clearance and tissue accumulation.⁶⁰ Although not any small change in pI will have an effect on pharmacokinetics, pI changes above one unit in either direction are considered to result in appreciable differences in mAb pharmacokinetics.⁶¹

Glycosylation pattern

Some of the pharmacodynamic effects of mAbs rely on immune-mediated effector functions, including ADCC and CDC. In CDC-mediated effector activity, the binding of complement C1q to a specific finding site on the Fc domain is critical in the initiation of the complement cascade, which ultimately leads to lysis of the target cell.⁶² In ADCC-mediated effector activity, the Fc portion of the mAb binds to an Fc γ R on an effector cell, such as a monocyte, macrophage, or natural killer cell, whereas the Fab domains bind to cell surface receptors on the target cell. This leads to the destruction of the target cell by either engulfing the cell through phagocytic activity by the immune cell or release of cytokines leading to cell death.⁶² A critical component in an mAb's ability to elicit ADCC or CDC is its affinity to Fc γ R and C1q, respectively, which is modulated by carbohydrate (glycan) chains at the Asn297 amino acid in the C_{H2} domain of the Fc region (**Figure 4**^{63,64}).⁶⁵

The glycan chains attached at the Asn297 amino acid show substantial heterogeneity between and within mAb products. Several defined molecular species of an mAb with different glycan chains may coexist in the same mAb product. The originally marketed form of trastuzumab (Herceptin), for example, has eight different isoforms with different glycan chains contained at specific relative ratios in the marketed product.⁶⁶

Different glycan chains have been associated with differences in the pharmacodynamics and pharmacokinetics of mAb species. Afucosylation (i.e., the absence of the sugar fucose linked to N-acetylglucosamine glycan attached at the Asn297 of the Fc domain), for example, results in dramatically enhanced ADCC due to enhanced Fc γ R IIIa binding affinity without any detectable change in CDC or antigen-binding affinity.⁶⁷ A combination of only the afucosylated forms of trastuzumab compared to the marketed trastuzumab product that contains fucosylated and nonfucosylated forms not only increased efficacy in an *in vivo* tumor model, but also reduced the half-life from 13.1 to 10.1 days, likely due to the accelerated removal of trastuzumab molecules through the ADCC mechanism as enhanced clearance pathway.⁶⁶

Other glycosylation patterns have also been shown to affect mAb pharmacokinetics: IgG that lacks galactose (G0 glycoforms) of IgG2 and potentially IgG1 remains 20–40% longer in circulation in mice compared to other glycoforms. A potential explanation is a higher binding affinity of galactosylated forms to Fc γ RI.⁶⁸ PK studies in Cynomolgus monkeys suggest that species of Fc fusion proteins with terminal N-acetylglucosamine are selectively cleared faster than species with other glycan structures.⁶⁹ The effect of terminal N-acetylglucosamine could be confirmed in humans.⁷⁰ Similarly, a three times faster clearance was noted for the high mannose glycans (Man5, Man8, and Man9) compared with regular complex-fucosylated forms, probably facilitated by the mannose receptor.⁷⁰ Overall, the alterations of clearance caused by varying glycosylation patterns are still being explored and have not been fully elucidated.⁷²

Polyreactivity

With increased structural modifications to native IgG structures due to protein engineering in an attempt to optimize

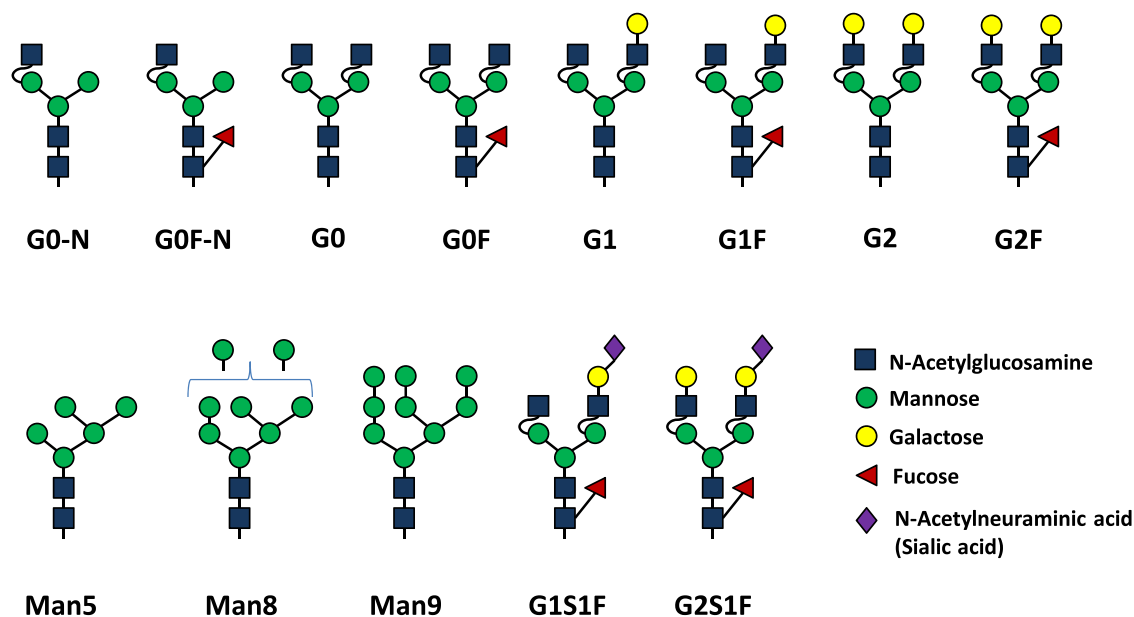


Figure 4 Commonly encountered N-glycan structures in the fragment crystallizable portion of monoclonal antibodies (based on refs. 63 and 64).

biological properties, an increasing risk in unspecific off-target binding of mAbs has been observed. This unspecific off-target binding seems to be related to the complementarity-determining regions of the mAb and has been associated with substantially increased mAb clearance, resulting in reduced half-lives as compared to the typical 18–21 days.⁷³

PATIENT-SPECIFIC FACTORS AFFECTING THE PHARMACOKINETICS OF MABS

Genetic variants

The pharmacokinetics of mAbs may be affected by functionally relevant genetic polymorphisms in genes encoding for proteins relevant for their distribution and elimination. The expression of one of the protein components of the heterodimeric FcRn, for example, is affected by a genetic variant in the *FCGRT* gene encoding for it. The promoter region for *FCGRT* exhibits a 37-base pair variable number of tandem repeats (VNTRs) polymorphism that affects the level of expression of FcRn. The most common VNTR3/VNTR3 genotype expresses 1.66-fold more FcRn transcript compared to the VNTR3/VNTR2 genotype.⁷⁴ As a consequence, patients with inflammatory bowel disease that were heterozygous exhibited 14% lower exposure for infliximab compared with patients homozygous for VNTR3, likely due to reduced salvage of IgG secondary to decreased FcRn expression, resulting in increased clearance and decreased systemic exposure of the mAb. A similar, but substantially more pronounced, effect of 24% was observed for adalimumab, which may be explained by the fact that adalimumab is given by the s.c. route and infliximab by the i.v. route.⁷⁵ Reduced FcRn expression may have affected not only the clearance, but also the bioavailability of adalimumab by its modulation of presystemic degradation. These observations are supported

by studies in patients receiving intravenous immunoglobulin,⁷⁶ in which the efficacy of treatment is higher in VNTR3 homozygotes.

Similar to FcRn, genetic variants relevant for the pharmacodynamics and potentially also pharmacokinetics of mAbs have also been described for Fc γ Rs, particularly Fc γ R IIIa. Clinical response for trastuzumab in human epidermal growth factor receptor (HER)2-overexpressing in patients with breast cancer was found to be significantly correlated with a genetic polymorphism in the gene encoding for Fc γ R IIIa resulting in an exchange of valine (V) against phenylalanine (F) at position 158. The amino acid exchange influences the affinity of IgG1 to the Fc γ R, resulting in an increased binding affinity and improved mediation of ADCC for the V allele compared to the F allele. Consequently, patients with V/V genotype exhibited higher objective response rates and longer progression-free survival.⁷⁷ Similar results were reported for cetuximab in colorectal cancer⁷⁸ and rituximab in B-cell lymphoma.⁷⁹ For infliximab, the effect of the Fc γ R IIIa genotype was suggested to not only be limited to pharmacodynamic efficacy, but also to affect pharmacokinetics, with a reduced clearance for the F/F genotype.⁸⁰ These data suggest that Fc γ polymorphisms may affect mAb disposition if ADCC is a major elimination pathway for a specific antibody drug, but may have little or no impact on exposure for those mAbs in which ADCC is only a minor or not a relevant clearance pathway.

Ectodomain shedding

Ectodomain shedding is a frequently encountered phenomenon of membrane-standing receptors, in which the extracellular domain of the receptor is cleaved and released into the circulation. For mAbs targeting these receptors, the shed antigen constitutes a binding reservoir that by being in the vascular space is often more easily accessible than the intact membrane-standing receptor on target cells in

the extravascular space. Thus, shed antigen can limit mAb disposition and inactivate a fraction of the administered mAb by preventing it from accessing its intended target. Different patients may have vastly different shed antigen concentrations and, thus, different effects, as shown for CD52, the target for alemtuzumab.⁸¹

Inflammatory status

Proteolytic degradation, as the prime elimination pathway for mAbs, can be affected by a variety of disease states, including cancer, injury, and chronic inflammatory conditions. Cancer-associated symptoms, in particular the progressive loss of weight and lean tissue, are manifestations of an ongoing chronic inflammatory response.⁸² This elevated inflammatory status results in a 50–70% higher whole body protein turnover rate in patients with cancer compared with normal individuals.⁸³ This affects not only the catabolism of many endogenous proteins, including IgG molecules, but also exogenous proteins, such as therapeutically used mAbs. As a consequence, nonspecific proteolytic clearance of mAbs is not constant among patients, but may differ substantially among patient groups with a different indication or disease severity based on the degree of differences in protein turnover secondary to differences in inflammatory status. This has, for example, been described for the pharmacokinetics of trastuzumab, in which systemic exposure in patients with HER2-positive advanced gastric or gastro-esophageal junction cancer was 30–40% lower compared with patients with HER2-positive metastatic breast cancer.⁸⁴ Similarly, clearance for infliximab has been reported as, on average, 0.37–0.41 L/day in Crohn's disease and ulcerative colitis, but only 0.26–0.27 L/day in rheumatoid arthritis and ankylosing spondylitis.⁸⁵

In line with these observations, serum albumin concentrations have frequently been reported as an inversely correlated covariate for mAb clearance, in which increased albumin levels are indicative of decreased IgG clearance.⁴ Hypoalbuminemia is a well-recognized marker of cachexia and elevated protein turnover secondary to chronic systemic inflammatory conditions, as observed in many cancer indications. The endogenous catabolic rate for albumin is highly correlated with the catabolic turnover of IgG.⁸⁶ Thus, increased protein turnover, as indicated by hypoalbuminemia, results in increased catabolic degradation of IgG molecules and increased clearance and reduced systemic exposure of therapeutically administered mAbs.

Similar to albumin, C-reactive protein (CRP) has also been identified as a predictor for mAb clearance. CRP levels correlate positively with mAb clearance, although CRP is a relatively unspecific indicator of systemic inflammation.⁸⁷ This correlation, however, is usually not as strong as for albumin, as CRP is much more variable than serum albumin concentrations and is controlled by a larger variety of factors.

TIME-DEPENDENT CHANGES IN PHARMACOKINETICS

Being a function of the systemic inflammatory status, catabolic degradation of mAbs may not necessarily be constant within a specific patient but may change with time. This may become relevant for patients undergoing long-term therapy

with mAbs, for example, in many cancer indications or chronic inflammatory conditions. The time-dependent change of endogenous protein turnover, and, thus, mAb clearance may be produced by either the natural progression of the disease or by the pharmacodynamic and therapeutic effects of the mAb.

If this process is taking place in an mAb therapy in cancer indications, then patients with the most pronounced therapeutic response to therapy should experience the largest reduction in mAb clearance over time. This is likely due to the reduction of the systemic inflammatory condition, which is in contrast to nonresponders in whom little or no time-dependent change in mAb clearance should occur. Recent observations for nivolumab and pembrolizumab seem to support this notion: patients experiencing partial or complete response under anticancer therapy with either of the mAbs exhibited the largest decrease in clearance over time, whereas patients with progressive disease showed the smallest time-dependent change in clearance.⁸⁸

The time-dependent change in mAb clearance, as a function of response to therapy, poses substantial challenges in a reliable assessment of exposure-response relationships for mAbs, as exposure in these cases is not any more an independent variable for predicting response. This is especially problematic for posthoc analyses of exposure-response data from studies with only one dose level, and may lead to potentially biased and misleading study results.⁸⁹

IMMUNOGENICITY

Administration of therapeutic mAbs to patients may trigger an immune response, leading to the formation of antidrug antibodies (ADAs). Immunogenicity is the ability of a particular substance, such as an mAb, to cause an immune response. The immunogenic potential of mAbs is related to a variety of factors, including the fraction of nonhuman sequence in the protein molecule, the route of administration, as well as dose and duration of therapy. Immunogenicity increases as the fraction of nonhuman sequence increases, with fully rodent mAbs being more immunogenic than chimeric mAbs, which are more immunogenic than humanized mAbs, which are generally more immunogenic than “fully” human mAbs.⁹⁰ Nevertheless, even mAbs with a structure that is completely analogous to a human IgG molecule may exhibit immunogenicity. The degree of the formation of aggregates and the occurrence of T-cell epitopes have been discussed as potential determinants.⁹⁰ Route of administration also affects the probability of an immune response: s.c. administration oftentimes elicits a higher likelihood compared to i.m. or i.v. administration, potentially secondary to aggregate formation at the injection site.⁹² The dose of an mAb interestingly may have an inverse relationship to immunogenicity. It has been observed that low doses of an mAb oftentimes elicit a greater immune response compared to a high dose of the same mAb.⁹³ The mechanistic basis for this observation remains elusive, although it may be speculated that ADAs formed by a weak immunogenic response may be consumed by high mAb concentrations in high-dose groups,

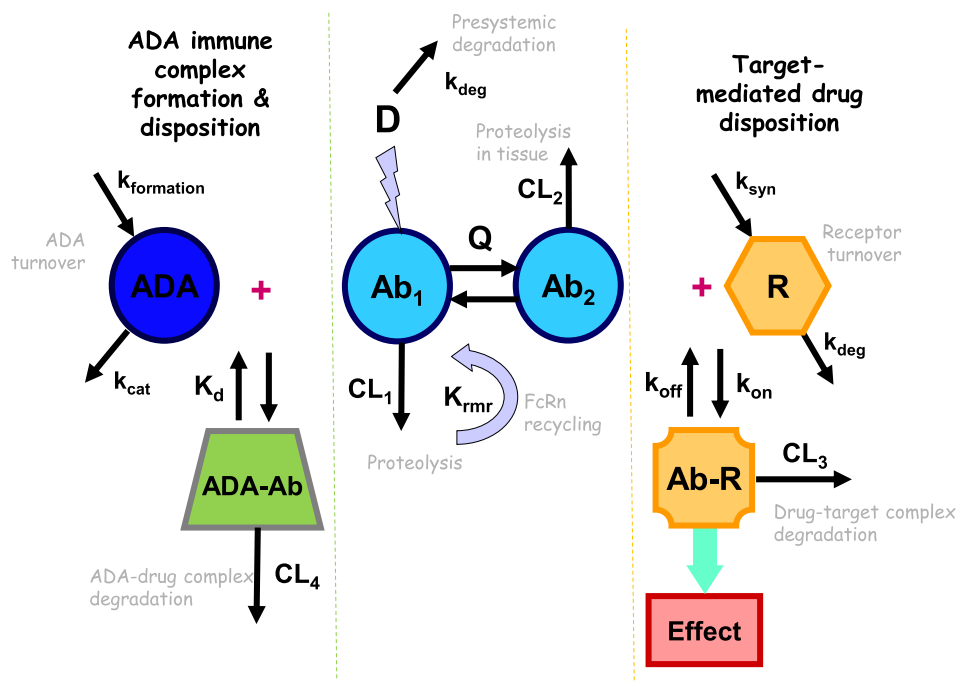


Figure 5 Multiple clearance pathways affecting the pharmacokinetics of a monoclonal antibody (mAb). Depicted is a typical two-compartment PK model for a mAb with administration of a dose (D) that may undergo presystemic degradation (degradation rate constant $[k_{deg}]$), concentrations of the mAb in the central (Ab_1) and peripheral (Ab_2) compartment, and interdepartmental clearance (Q). The PK model includes two linear clearance pathways representative of unspecific proteolytic degradation, one from the central compartment (CL_1) and one from the peripheral compartment (CL_2), as well as recycling through the neonatal neonatal fragment crystallizable receptor (FcRn)-mediated salvage pathway (recycling rate constant (K_{rmr})). Added to these clearance pathways is, on the right-hand side, a target-mediated disposition pathway that constitutes interaction of the mAb with its pharmacologic target receptor, which is in a homeostatic equilibrium of synthesis and degradation (rate constants k_{syn} and k_{deg}). The dynamic equilibrium for the formation of the resulting mAb-receptor complex (Ab-R) is determined through the association rate constant k_{on} and the dissociation rate constant k_{off} . The formation of Ab-R not only elicits the pharmacologic effect but also triggers degradation of the complex. Thus, target binding and subsequent Ab-R degradation constitute an additional clearance pathway for the mAb (CL_3). The left-hand side of the graphic depicts the effect of an immune response to the mAb resulting in anti-drug antibody (ADA) formation. Again, the circulating concentration of the ADA is determined by a homeostatic equilibrium between its formation rate ($k_{formation}$) and a catabolic turnover process (rate constant (k_{cat})). The ADA response results in the formation of immune complexes with the drug (ADA-Ab), dependent on the dissociation constant K_d . Dependent on the size and structure of the immune complexes, endogenous elimination pathways through the reticuloendothelial system may be triggered, most likely via fragment crystallizable-gamma ($Fc\gamma$)-mediated endocytosis. Thus, immune complex formation and subsequent degradation may constitute an additional clearance pathway (CL_4) for mAbs (modified from ref. 97; reproduced with permission of Springer).

thereby masking the immunogenic effect without major influence on mAb exposure. The duration of therapy also has an effect on immune response. As duration of treatment lengthens, the chances to elicit an immune response also increases.⁹⁴ The binding affinity of ADAs will also mature/increase over time as more mAb is introduced.⁹⁵ As binding affinity increases, so will the potential of a decrease in the therapeutic mAb concentration and clinical effect. Predisposition to form an immune response has more recently also been linked to patient genotype, for example, certain human leukocyte antigen (HLA) haplotypes.⁹⁰

Immunogenicity resulting in ADA formation is usually a polyclonal response, with multiple ADA species concurrently available and interindividual differences from patient to patient. The formed ADA can either be neutralizing antibodies or non-neutralizing antibodies. Neutralizing ADAs obliterate the effect of the mAb by binding to complementarity determining regions (i.e., their active sites). The level

of neutralization is dependent on the titer of ADA. Neutralizing antibodies that are at low titers may not show a clinical effect, but at high titers there is a greater potential to see a decrease in clinical efficacy.⁹² Non-neutralizing ADAs do not interfere with the mAb's antigen-binding capacity. Independent of whether ADAs are neutralizing or non-neutralizing, ADA formation frequently has an effect on the pharmacokinetics and systemic exposure of the affected mAb, although not all ADAs result in a change in the mAb's PK behavior, as, for example, observed for panitumumab.⁹⁶ If there is an effect on pharmacokinetics, it is usually a dramatic increase in the elimination of the affected mAb, resulting in a substantially reduced or no appreciable systemic exposure of the mAb,⁹⁷ as shown, for example, in patients with ADA-positive rheumatoid arthritis under infliximab therapy.⁹⁸ The mechanistic basis for this increased clearance is the formation of circulating ADA-mAb immune complexes that are large enough to trigger uptake and

Table 1 List of US Food and Drug Administration approved therapeutic monoclonal antibodies or antibody derivatives

Antibody INN name	Brand name	Company	Approval year	Antibody isotype	Indication/targeted disease	Route(s) of administration	PK behavior/ model	Target
Adalimumab	Humira	Abbvie	2002	Human IgG1	Several auto-immune disorders	s.c.	Linear	TNF- α
Alemtuzumab	Campath, Lemtrada	Genzyme	2001	Humanized IgG1	Chronic lymphocytic leukemia, multiple sclerosis	i.v.	Nonlinear – Michaelis Menten	CD52
Alirocumab	Praluent	Sanofi/Regeneron	2015	Human IgG1	Heterozygous familial hypercholesterolemia	s.c.	Nonlinear – TMDD	PCSK9
Basiliximab	Simulect	Novartis	1998	Chimeric IgG1	Transplant rejection	i.v.	NCA – “Linear”	CD25
Belimumab	Benlysta	GlaxoSmithKline	2011	Human IgG1	Systemic lupus erythematosus	i.v.	Linear	BlyS
Bevacizumab	Avastin	Genentech	2004	Humanized IgG1	Colorectal cancer	i.v.	Linear	VEGF
Canakinumab	Ilaris	Novartis	2009	Human IgG1	Cryopyrin-associated periodic syndrome (CAPS)	s.c.	Linear	IL-1 β
Cetuximab	Erbix	Bristol Myers Squibb/ Eli Lilly/Merck KGaA	2004	Chimeric IgG1	Colorectal cancer, head and neck cancer	i.v.	Nonlinear – Michaelis Menten	EGFR
Daclizumab	Zinbryta	Abbvie/Biogen	2016	Humanized IgG1	Multiple sclerosis	s.c.	Linear	IL-2
Daratumumab	Darzalex	Janssen	2015	Human IgG1	Multiple myeloma	i.v.	Linear	CD38
Denosumab	Prolia, Xgeva	Amgen	2010	Human IgG2	Postmenopausal osteoporosis, solid tumor bony metastases	s.c.	Linear	RANKL
Dinutuximab	Unituxin	United Therapeutics	2015	Chimeric IgG1	Neuroblastoma	i.v.	NCA – “Nonlinear”	GD2
Eculizumab	Soliris	Alexion	2007	Humanized IgG2	Paroxysmal nocturnal hemoglobinuria	i.v.	Linear	Complement Protein C5
Eliotuzumab	Empliciti	Bristol Myers Squibb	2015	Humanized IgG1	Multiple myeloma	i.v.	Nonlinear – TMDD	SLAMF7
Evolocumab	Repatra	Amgen	2015	Human IgG2	Homozygous familial hypercholesterolemia	s.c.	Nonlinear – TMDD	PCSK9
Golimimumab	Simponi	Janssen	2009	Human IgG1	Rheumatoid arthritis, psoriatic arthritis, and ankylosing spondylitis	s.c.	Linear	TNF- α
Ibritumomab tiuxetan	Zevalin	Spectrum	2002	Murine IgG1	Non-Hodgkin lymphoma (with yttrium-90 or indium-111)	i.v.	NCA – “Linear”	CD20
Infliximab	Remicade	Janssen	1998	Chimeric IgG1	Several autoimmune disorders	i.v.	Linear	TNF- α
Iplimumab	Yervoy	Bristol Myers Squibb	2011	Human IgG1	Melanoma	i.v.	Linear	CTLA-4
Ixekizumab	Taltz	Eli Lilly	2016	Humanized IgG4	Plaque psoriasis	s.c.	Linear	IL-17A
Mepolizumab	Nucala	GlaxoSmithKline	2015	Humanized IgG1	Severe asthma	s.c.	Linear	IL-5
Natalizumab	Tysabri	Biogen/Eli Lilly	2006	Humanized IgG4	Multiple sclerosis and Crohn disease	i.v.	NCA – “Nonlinear”	α 4-subunit of α 4 β 1 and α 4 β 7 integrins
Necitumumab	Portrazza	Eli Lilly	2015	Human IgG1	Metastatic squamous nonsmall cell lung cancer	i.v.	Linear	EGFR
Nivolumab	Opdivo	Bristol Myers Squibb	2016	Human IgG4	Classical Hodgkin lymphoma	i.v.	Linear	PD-1
Obiltoximab	Anthim	Eli Lilly	2016	Chimeric IgG1	Inhaled Anthrax	i.v.	Linear	Toxin of B. anthracis
Obinutuzumab	Gazyva	Genentech	2013	Humanized IgG1	Chronic lymphocytic leukemia	i.v.	Linear	CD20
Ofatumumab	Arzerra	Novartis	2009	Human IgG1	Chronic lymphocytic leukemia	i.v.	Nonlinear – TMDD	CD20
Olaratumab	Lartruvo	Eli Lilly	2016	Human IgG1	Soft tissue sarcoma	i.v.	NCA – “Nonlinear”	PDGFR- α
Omalizumab	Xolair	Genentech/Novartis	2004	Humanized IgG1	Mainly allergy-related asthma	s.c.	Linear	IgE

Table 1. *cont.*

Antibody INN name	Brand name	Company	Approval year	Antibody isotype	Indication/targeted disease	Route(s) of administration	PK behavior/model	Target
Palivizumab	Synagis	MedImmune	1998	Humanized IgG1	Respiratory syncytial virus	i.m.	Linear	RSV
Panitumumab	Vectibix	Amgen	2006	Human IgG2	Colorectal cancer	i.v.	Nonlinear – TMDD	EGFR
Pembrolizumab	Keytruda	Merck & Co	2014	Humanized IgG4	Metastatic melanoma	i.v.	Linear	PD-1
Pertuzumab	Perjeta	Genentech	2012	Humanized IgG1	HER2-positive breast cancer	i.v.	Linear	HER-2
Ramucirumab	Cyramza	Eli Lilly	2014	Human IgG1	Solid tumor	i.v.	NCA – “linear”	VEGFR-2
Ranibizumab	Lucentis	Genentech/Novartis	2006	Humanized IgG1 (fragment)	Macular degeneration	i.v.	Linear	VEGF
Raxibacumab	Abthrax	Human Genome Sciences	2012	Human IgG1	Anthrax	i.v.	Linear	Toxin of B. anthracis
Reslizumab	Cinqair	Teva	2016	Humanized IgG4	Severe asthma	i.v.	NCA – “linear”	IL-5
Rituximab	Rituxan, Mabthera	Biogen/Genentech	1997	Chimeric IgG1	Non-Hodgkin lymphoma	i.v.	Linear	CD20
Secukinumab	Cosentyx	Novartis	2015	Human IgG1	Plaque psoriasis, Psoriatic arthritis, Ankylosing spondylitis	s.c.	Linear	IL-17A
Siltuximab	Sylvant	Janssen	2014	Chimeric IgG1	Multicentric Castleman's disease	i.v.	Linear	IL-6
Tocilizumab (or Atlizumab)	Actemra and RoActemra	Genentech	2010	Humanized IgG1	Rheumatoid arthritis	i.v., s.c.	Nonlinear – TMDD	IL-6
Tositumomab	Bexxar	GlaxoSmithKline	2003	Murine IgG2	Non-Hodgkin lymphoma	i.v.	NCA – “nonlinear”	CD20
Trastuzumab	Herceptin	Genentech	1998	Humanized IgG1	Breast cancer	i.v.	Nonlinear – TMDD	HER2
Ustekinumab	Stelara	Janssen	2009	Human IgG1	Moderate to severe psoriasis	s.c.	Linear	IL-12, IL-23
Vedolizumab	Entyvio	Takeda	2014	Humanized IgG1	Crohn disease, ulcerative colitis	i.v.	Linear and nonlinear - Michaelis Menten $\alpha/1/\beta$ integrin	

EGFR, epidermal growth factor receptor; HER, human epidermal growth factor receptor; IgE, immunoglobulin E; IgG, immunoglobulin G; IL, interleukin; INN, international nonproprietary name; NCA, non-comparmental analysis; PD-1, programmed cell death 1 receptor; PDGFR- α , platelet-derived growth factor receptor- α ; PK, pharmacokinetic; RANKL, receptor activator of nuclear factor-kappa-B ligand; RSV, respiratory syncytial virus; TMDD, target-mediated drug disposition; TNF- α , tumor necrosis factor α ; VEGF, vascular endothelial growth factor; VEGFR, vascular endothelial growth factor receptor.

lysosomal degradation by the reticuloendothelial system, mediated, for example, via binding of the Fc domain for Fc γ R, primarily Fc γ RIIA on platelets, and subsequent internalization by circulating phagocytes.⁹⁹ Thus, ADA-mAb complex formation constitutes an additional clearance pathway for the affected mAb that may substantially contribute to its disposition and removal from the systemic circulation (Figure 5).⁹⁷

MODELING OF MAB DISPOSITION

The pharmacokinetics of most therapeutically used mAbs have been described by compartmental modeling approaches, using the classic two-compartment model with reversible drug transfer between a central and a peripheral compartment. Drug elimination is usually described by a linear, first-order elimination pathway from the central compartment, that may be complemented by a parallel, nonlinear elimination pathway that exhibits Michealis-Menten-style kinetics, with a defined maximum elimination rate (V_{max}) and a Michealis-Menten constant (k_m) defining the concentration at which the elimination pathway is half saturated.^{4,55} The pharmacokinetics of mAbs that undergo TMDD have also been described by permutations of the target-mediated drug disposition model. This model includes binding parameters for the mAb-target interaction as well as an internalization rate for the mAb-target complex. Although the full TMDD model has only been applied in a few situations, largely due to the limited availability of concentration data beyond free or total mAb concentration (e.g., target concentration and mAb-target complex concentrations) as well as the largely different time scales of the kinetic processes involved in the TMDD model (fraction of seconds for complex association rates vs. days for elimination rates), simplifications, and approximations of the full TMDD model have been widely applied. A comprehensive review on TMDD model variations has recently been reported in this journal.¹⁰⁰

In order to expand mAb modeling from not only describing plasma pharmacokinetics, but also tissue concentration-time profiles, as well as to facilitate a more mechanistic understanding of the impact of drug disposition processes of mAbs, such as TMDD, convective extravasation, FcRn recycling, and proteolytic degradation, PBPK models have more recently been increasingly applied to characterize the complex disposition kinetics of therapeutically used mAbs.¹⁰¹ A recent example for a full PBPK model includes 16 tissue compartments, each further divided into vascular, endosomal, interstitial, and cellular subcompartments, as well as physiological parameters for four species (mouse, rat, monkey, and human), different vascular reflection coefficients for different tissues, use of an association and dissociation constants between mAb and FcRn, degradation rates for FcRn unbound mAb, and use of pinocytosis clearance.¹⁰²

Minimal PBPK modeling can be seen as a middle ground between classical compartmental modeling and full PBPK modeling that allows for the incorporation of mechanistic key elements in drug disposition without the need for extensive collections of estimated physiologic and theoretical

parameters. In a recent minimal PBPK model, the compartmental complexity was reduced to two groups of tissues, the leaky and tight distribution volumes according to their vascular endothelium structure.²⁶ These kind of reductionist modeling approaches still allow consideration of many mechanistic and conceptual features of mAb drug disposition, but at the same time are not dependent on the assumptions of model parameters that cannot reliably be measured, accessed, or estimated.

POPULATION VARIABILITY

Although there is substantial heterogeneity in drug disposition and pharmacokinetics of mAbs, particularly if saturable distribution and elimination processes are involved, many of the therapeutically used mAbs exhibit similar PK behavior that is analogous to endogenous IgG molecules. Population estimates of the volumes of distribution in the central (V_c) and peripheral (V_p) compartments are typically small, with median values of 3.1 (range, 2.4–5.5) L and 2.8 (range, 1.3–6.8) L, respectively, reflecting the limited ability of mAbs as large protein molecules to leave the vascular space.⁴ The estimated between-subject variability in the V_c was usually moderate, with a median coefficient of variation of 26%.⁴ Much more limited information is available on the between-subject variability in other distribution-related parameters, such as the V_p and intercompartmental clearance. The clearance of mAbs with linear elimination characteristics or at concentrations when target-mediated drug disposition processes are saturated typically ranged from 0.2–0.5 L/day, which is relatively close to the estimated clearance of endogenous IgG of 0.21 L/day. The between-subject variability in clearance was moderate with a median coefficient of variation of 33%, ranging from 20–59%.⁴ Similar results have been obtained with a population pharmacokinetics-based meta-analysis of four mAbs.¹⁰³ These values, however, may further be modulated by the various product-specific and patient-specific factors, as outlined earlier in this article.

CONCLUSION

MABs are a unique class of therapeutics that exhibit PK behavior determined and controlled by the specific mechanisms and processes involved in their disposition. Although there are substantial differences in the pharmacokinetics of individual mAbs, their general behavior can still be considered a class property as it is driven by and similar to their endogenous counterpart IgG. The mAb PK properties, however, can be further modulated by the various factors outlined in this article, and further deviation from class behavior may be expected with the increasing utilization of protein engineering to modify the IgG scaffold.

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